APPLICATIVE AND TROPATIVE DERIVATIONS IN JAPHUG RGYALRONG*

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Abstract: This paper presents the morphosyntactic properties of the applicative derivation in Japhug Rgyalrong, and shows that an additional valency-increasing derivation, the tropative, is also found in this language. Although the applicative and tropative prefixes are superficially similar, their morphosyntactic properties are distinct.

Keywords: Japhug, Rgyalrong, applicative, tropative, derivational morphology, valency

1. INTRODUCTION

Unlike most Sino-Tibetan languages, Japhug and the other Rgyalrong languages have a relatively complex verbal derivation morphology (see Sun 2006, Jacques 2008, Jacques 2012a in particular). All productive derivational affixes are prefixes, and most of these prefixes influence the valency of the verb in derivations such as causative, anticausative, antipassive and applicative.

The present paper deals with the morphosyntactic functions of two valency-increasing derivational prefixes in Japhug Rgyalrong, the applicative *nui*- and the tropative *ny*-.

The term 'tropative' designates a derivation from an adjective or a stative verb into a transitive verb meaning 'to consider to be ...'. This term is borrowed from Arabic linguistics, where it is applied to a particular verbal pattern in examples such as $\hbar asuna$ 'be good' $\rightarrow ista\hbar sana$ 'deem to be good' (see for instance Larche 1996; the term 'estimative' is also found).

Apart from Arabic, other examples of tropative are found for instance in Turkish (the suffix -(I)msA or -sA, Göksel and Kerslake 2005: 56) and in Lakhota (the suffix -la or -lakA, Ullrich 2008: 317) as in the following examples:

^{*} I wish to thank Anton Antonov, Alec Coupe, Nathan Hill and two anonymous reviewers for useful comments on this article. This research was funded by the *HimalCo* project (ANR-12-CORP-0006) and is related to the research strand LR-4.11 automatic paradigm generation and language description of the Labex EFL (funded by the ANR/CGI). The glosses follow the Leipzig glossing rules. Other abbreviations used here are: ACAUS anticausative, APPL applicative CISLOC Cislocative, CONS constative, DEM demonstrative, DIST distal, EMPH emphatic, INDEF Indefinite, INV Inverse, LNK linker. POSS possessor, TRANSLOC translocative. In all examples in this paper taken from traditional narratives, we indicate the name of the story followed by the line number. These stories will eventually be made available on the Pangloss website (http://lacito.vjf.cnrs.fr/archivage/). Elicited examples or examples from conversations are from Chenzhen and Dpalcan, both born in 1950.

¹ The Turkish tropative can also be applied to a pronoun in the example ben 'I' \rightarrow ben-imse-'adopt, embrace (= consider to be one's own)', a property not found in Arabic or Japhug.

- (1) $b\ddot{u}y\ddot{u}k$ 'big' $\rightarrow b\ddot{u}y\ddot{u}k$ -se- 'to overestimate' $k\ddot{o}t\ddot{u}$ 'bad' $\rightarrow k\ddot{o}t\ddot{u}$ -mse- 'to think ill of'
- (2) wakháŋ 'sacred' → wakháŋ-la 'to consider sacred' wašté 'good' → wašté-lakA 'to like'

Crosslinguistically, few languages have a special derivation restricted to the tropative meaning like Turkish, Lakhota or Japhug. Common ways of expressing the same meaning include a construction with verbs such as 'think' or 'consider' and a complement clause, or use a causative derivation with a tropative meaning. These two alternative strategies are also found in Japhug, and are described in Section 2.2.

2. APPLICATIVE

The applicative is a valency-increasing derivation by means of which an oblique argument or adjunct is promoted to the O function, while the S of the original verb becomes the A of the applicative verb.² In Japhug, only intransitive verbs are subject to the applicative derivation.

In Rgyalrong languages, the existence of an applicative derivation has been mentioned in previous work (in particular Sun 2006 and Sun to appear concerning Tshobdun, and Jacques 2008 concerning Japhug), but was not clearly distinguished from the tropative.

The applicative in Japhug is marked by the prefix nuu- / nuuy- / nv- (the distribution of the three allomorphs is discussed in 2.1). It is only moderately productive; Table 1 contains all known examples of applicative in Japhug.

Following Peterson's 2007 classification, the examples of applicative found in Japhug mainly belong to the *stimulus* applicative subtype, though we also find two examples of *comitative* applicative ('wrestle with', 'play with').

2.1. Morphophonology

The applicative has three distinct allomorphs: nur, nr and nur. Of these, nur is homonymous with many other derivational prefixes (denominal and autobenefactive-spontaneous) and even flexional prefixes (aorist/ imperative directional 'towards east', 2/3 plural possessive). nr is identical to the tropative prefix, or to one allomorph of the nur denominal prefix. Even for the last allomorph nur, the facilitative of transitive verbs nuryur has an irregular homophonous allomorph nury with at least one verb.

² On the status of S, A and O see Haspelmath (2011).

basic verb		derived verb	
azuzu akhu akhyznga andzut amdzu ayro stu	wrestle shout, call shout, call bark sit play believe (vi)	nyzwzu nykhu nykhyzŋga nyndzwt nymdzw nyyro nystu	wrestle with shout at shout at bark at look after play with believe (vt)
mbyom ŋke rga sŋom bury mu	be hurried go on foot like, be glad (vi) envy (vi) miss (vi) be afraid	numbyom nunjke nurga nursnom nurybury nurymu	look forward to, miss s.o. look for like (vt) envy (vt) miss (vt) be afraid of

Table 1. Examples of the nu- applicative prefix

nu- is obviously the most basic allomorph, and the only one to appear with a Tibetan loanword (rga 'like' from dga).

ny- results from the fusion of the applicative nuu- with the a- determiner of many intransitive verbs. This rule is the same as that according to which the causative suu- is realised as sy- with verbs of this type. nykhu 'shout at', nymdzuu 'look after' and nyzuuzu 'wrestle with' could therefore be rewritten as nuu-ykhu, nuu-ymdzuu and nuu-yzuuzu.

nury- only appears with two examples that have a labial onset without cluster. The distribution of *nur*- and *nury*- might have been at an earlier stage like that of *sur*- and *sury*-, the latter occurring with intransitive verbs whose initial does not contain a cluster or a velar/uvular.

For most verbs, the applicative is formally difficult to distinguish from the autobenefactive-spontaneous nui; only the meaning and the fact that the former adds an argument, while the latter does not change the valency of the verb, permits the two grammatical categories to be distinguished. The two prefixes however are distinct in the case of verbs prefixed with the determiner a-: while the applicative (being located in slot 10 of the verbal template)³ appears before the a-, the spontaneous-autobenefactive appears after it. The difference between nuivkhu 'shout at' and anuikhu 'shout' can be analysed as follows:

Position	10	11	12	15
		<i>a</i> -		khu
applicative	nuı-	<i>a</i> -		khu
autobenefactive		<i>a</i> -	nui-	khu

 3 See Jacques (2012b) and Jacques (2012) for a general account of the Japhug verbal template.

One counterexample however is found: the autobenefactive-spontaneous of atmy 'to meet' is *nun-ytmy* (instead of *anutmy), with this prefix in position 10.

2.2 Compatibilities

The applicative *nut*- is compatible with the causative *sut*-, with which it regularly combines as *z-nut*-:

(3) nu kui-fse ci jum
DEM NMLZ:S/A-be.like.that INDEF wife

ko-z-nui-ŋke-j tçe, nui-me nui EVD:east-CAUS-APPL-walk-1PL LNK 2PL.POSS-girl TOP

w-rca tγ-ye-j

3SG.POSS-following PFV:up-come[III]-1PL

So (the king) sent us to look for a wife (for his son), and we followed your daughter here. (The prince, 70)

The applicative can also combine with both the deexperiencer *sy*- and the antipassive *sy*-, producing homophonous forms, such as *sy-nui-rga*, which can either be interpreted as 'to be likeable' (deexperiencer) or 'to like people' (antipassive).

Additionally, the applicative is compatible with the reciprocal in examples such as *anusybusybusy* 'to miss each other' or *anusgusga* 'to like each other' and with the reflexive as in *zyy-nystu* 'to believe in oneself'.

We failed to find other combinations of prefixes with the applicative, but this might reflect the rarity of the applicative rather than a structural principle of the language.

2.3 Syntactic constructions

The applicative makes an intransitive (in some cases even stative) verb transitive. The original S becomes the A, and a new argument is promoted to O status. There are three types of added arguments.

First, in the case of the verbs *akhu* and *akhyznga* 'to shout', the argument promoted to O status of the applicative form *nykhu* is a dative adjunct, as in the following example:

(4) azo a-çki nw-ykhu 1SG 1SG-DAT CONST-call He is calling me. (elicitation, Dpalcan)

Second, the case of *rga* 'like, be glad' is quite peculiar. Although this verb has intransitive morphology and only agrees with the experiencer (the S), it can be used with an overt stimulus, which receives no special marking, as demonstrated by *qrorni* 'red ant' in example (5).

(5) qrorni nunu, pri ku yu-tu-ndze wuma zo red.ant DEM bear ERG CISLOC-IPFV-eat[III] really EMPH

rga

N.PST:like

The red ants, the bear likes to come to eat them very much. (The ants, 41)

Overt stimuli of the verb *rga* are syntactically adjuncts, despite having no locative or dative marker, and our corpus only includes examples with left dislocation of the noun phrase corresponding to the stimulus, as in example (5).

With the applicative derivation, the stimulus is promoted to O status, and is indexed on the verb, as in example (6), where the verb has stem 3 vowel alternation.⁴

(6) içqha tçheme nuı nuı-nuı-rge-a the.aforementioned woman TOP IPFV-APPL-like[III]-1SG I like this woman. (elicited, Dpalcan)

The promoted argument can be relativized as any O argument with the ky-prefix:

(7) thaxtsa nu izo kuru tçheme ra nunu mylyn coloured.belt TOP we Tibetan woman PL DEM absolutely

zo pjui-tu kui-ra tçe, stu EMPH 1PFV-be.there NMLZ:S/A-have.to LNK most

ji-kγ-nui-rga çti

1PL.POSS-NMLZ:O-APPL-like N.PST:be.EMPH

Coloured belts are something we Tibetan women absolutely need to have, it is what we like most. (Coloured belts, 93)

Third, in the case of the other applicative verbs, the added O does not correspond to an adjunct used with its intransitive counterpart.

2.4. Complements

Applicative verbs can also be used with infinitive complements:

⁴ In Rgyalrong languages, stem 2 is used in non-past transitive direct third person O and singular A forms, see Sun (2000) and Jacques (2012b).

(8) tui-ŋga kʏ-ҳtui INDEF.POSS-clothes INF-buy

ç-pui-nui-ŋke-t-a TRANSLOC-PFV:down-APPL-walk-PST-1SG I took a walk to buy clothes. (elicited, Dpalcan)

Note that motion verbs normally appear with complements using the S/A (kui-) participle form instead, but here the applicative of 'to walk' nui- ηke 'to look for', a transitive verb (unlike other motion verbs such as e 'to go', e it come', e 'to run' etc. which are intransitive), appears with a e infinitive complement. In this construction, The S/A of the complement verb must be coreferent with that of the A of the applicative verb.

When the S/A of the complement clause is not coreferent with the A of the applicative verb, a finite form is necessary:

(9) wzo ju-nwyi nw-nw-mbyom-a he IPFV-come.back CONST-APPL-be.in.a.hurry-1SG I am looking forward to his coming back. (elicited, Chen Zhen)

With the applicative verb *nut-mbyom* 'to look forward to', finite complements are always in the imperfective form, even when the verb is in the aorist:

(10) jufçur a-zuβ mw-pw-ye tçe, lu-fsok yesterday 1sg.poss-sleep Neg-pfv-come[II] lnk ipfv-be.clear ty-nw-mbyom-a pfv-Appl-be.in.a.hurry-1sg Yesterday I could not sleep, I looked forward to the daybreak. (elicited, Chen Zhen)

2.5. Semantics

The applicative verbs in Japhug may be divided into three groups depending on the semantics of the original verb: experiencer verbs, action verbs and reciprocal action verbs.

For experience verbs (*rga* 'to like', *buy* 'to miss', *mu* 'to be afraid' etc.) the applicative adds the stimulus of the feeling.

(11) nut-ta-nuy-buy-nut IPFV-1→2-APPL-miss-PL I miss you_p (elicited, Chen Zhen)

(12) nyzo tçhi tuu-nuy-me? you what 2-APPL-N.PST:be.afraid[III] What are you afraid of? (Gesar, 378, Jacques and Chen 2010: 68) Unlike most transitive verbs, applicative verbs derived from experiencer verbs can be used with non-periphrastic past imperfective puu- or with the non-periphrastic evidential pjy-; this property is shared with tropative verbs.⁵

(13) lulu nu wuma pj\u00e4-nu\u00f4-nu\u00f4-mu-ndzi \u00c4ti cat TOP really EVD.IPFV-APPL-be.afraid-DU N.PST:be.EMPH They_d were very afraid of the cat. (The mouse and the sparrow. 15)

With the action verbs *ŋke* 'walk', *andzut* 'bark' and *akhu* 'shout, call', the added argument is the goal towards which the action is directed:

- (14) w-rkyrkw jilco nw ra tw-sŋi 3SG.POSS-around neighbour TOP PL one-day
 - po-z-nykak, po-nw-ykhu EVD-CAUS-have.a.good.time EVD-APPL-call One day, she invited neighbours from all places around, she invited them. (The raven 98)
- (15) turme my-ky-nufse jy-ye tçe tu-nu-yndzut person NEG-NMLZ:O-know AOR-come[II] LNK IPFV-APPL-bark When a person that it does not know comes, it barks at him. (The dogs, 9)
- (16) a-ye rjylpu çuı-nuı-ykhu-tçi 1SG.POSS-grandson king TRANSLOC-APPL-N.PST:call-1DU Grandson, let us go to invite the king. (Kunbzang 342)

In the case of *amdzui* 'sit', whose applicative *nymdzui* means 'take care for, look after', the semantic derivation is less transparent, though it reminds one of idiomatic expressions such as 'baby-sitting':

(17) ki typytso ky-nw-ymdzi a-my-pw-ndzaβ

DEM.PROX child IMP-APPL-sit[III] IRR-NEG-PFV-ACAUS:make.fall

Look after this child, do not let him fall. (elicited, Dpalcan)

Finally, with the intrinsically reciprocal *azuuzu* 'wrestle', the applicative is used as an 'anti-reciprocal':

⁵ Lin (2011: 64) asserted that the non-periphrastic past imperfective in the Datshang dialect of Japhug was restricted to stative verbs. One could argue that the applicative and tropative are indeed transitive stative verbs, a category that is attested in some active-stative languages, for instance Lakhota (see Boas and Deloria 1941: 77, and Ullrich 2008: 707). However, in Lakhota the tropative suffix -*la* derives active (=dynamic) verbs, not transitive stative ones, out of stative verbs (as in example 2). In any case, the non-periphrastic past imperfective does appear with dynamic verbs in conditionals (in the apodosis of counterfactuals), and with transitive verbs that have the progressive prefix *assur*.

(18) tý-wy-nui-γzuizu-a
PFV-INV-APPL-wrestle-1SG
He wrestled with me. (elicited, Dpalcan)

The applicative however cannot be combined with reciprocal derivation in any regular way. The verb azuuzu itself is historically the reciprocal of a non-attested transitive verb *zu 'wrestle', but is not analysable as such synchronically since the base verb is lost. One reason why the combination of applicative with reciprocal is not more common is that it would be homophonous with atelic derivation (ηke 'to walk' $\rightarrow nx\eta kuu\eta ke$ 'to walk in all directions'). The reciprocal is formed by combining the reduplicated verb stem with the a-prefix. Adding the applicative nux- yields nux-x- nx- with reduplication, which is exactly the same as the atelic form of the verb. This combination would also derive a transitive verb out of an intransitive one (through a stage as an intransitive reflexive), exactly as in atelic derivation.

We notice that neither place nor instrument can be promoted to O using the applicative in Japhug; its range of uses is quite limited.

3. TROPATIVE

The tropative n_{Y^-} is a very productive derivation that can be applied to most stative verbs, having the meaning 'to consider to be X'. This derivation was briefly reported as an example of applicative in Tshobdun (Sun 2006: 5–6). Jacques (2012a, 2012b) mentioned the existence of this derivation in Japhug, but did not provide any detail on its actual use.

In the tropative derivation, the S of the original verb becomes the O of the derived transitive verb, while the added argument (the experiencer) becomes the A of the derived verb. This resembles causative derivation (though the semantics is different), but differs from the applicative.

For instance, the stative verb *mpçvr* 'be beautiful' has the derived transitive verb *nv-mpçvr* 'consider to be beautiful':

(19) w-mdok maka múj-nysci tçe, nw ni 3SG.POSS-colour at.all NEG:CONST-change LNK DIST.DEM DU

stu nui-ky-ny-mpçyr nui-nu most 3PL-NMLZ:O-TROPATIVE-beautiful IPFV-be Its colour does not change, and these two are the ones that they consider

the most beautiful. (Coloured belts, 85)

It also derives perception verbs like *ny-mnym* 'to smell' and *ny-mnym* 'to feel pain' derived from *mnym* 'to smell (it)' and *mnym* 'to ache (it)'.

(20) twy kw-fse ku-tu nura tu-nymnym tce poison NMLZ:S/A-be.like NMLZ:S/A-exist DEM:PL IPFV-smell LNK w-kw-swxsγl, u-ku-suxpjyt nunu րա-դս 3SG-NMLZ:S/A-recognize **DEM** NMLZ:S/A-perceive CONST-be The poisonous things, it is able to recognize them when it smells them. (The buzzard, 34)

(21) w-xtu nw-ny-mnym
3SG.POSS-belly CONST-TROPATIVE-ache
He feels pain in his belly. (elicited, Chenzhen)

The verb *ny-mnym* 'to smell' is only used for volitional perception. For non-volitional perception, the general perception verb *mtshym* 'to hear, to smell' is used instead. The verb *mtshym* can be employed to refer to all types of non-visual non-volitional perception, including audition, smell, taste, vibration of an earthquake etc., as in example (22).

(22) ty-di ci kwi-mwmwm zo pwi-mtsham-a INDEF.POSS-smell INDEF NMLZ:S-tasty EMPH AOR-hear-1SG I smelled a nice smell. (The lotus, 2)

As such, the tropative n_{Y^-} is clearly distinct from the applicative n_{U^-}/n_{UUY^-} , which presents a different redistribution of syntactic roles: the S of the base verb becomes the A, and an adjunct is promoted to become O, as in b_{UUY} 'to miss home (it)' vs. n_{UUY} - b_{UUY} 'to miss someone (vt)'. The difference between applicative, tropative and causative can be represented as follows in (23).

(23) APPLICATIVE: $S \rightarrow A + O$ TROPATIVE: $S \rightarrow O + A$ (experiencer) CAUSATIVE: $S \rightarrow S + A$ (causer)

Table 2 shows additional examples of tropative verbs in Japhug; this list is far from exhaustive, as the tropative is a fully productive derivation which can be applied to most stative verbs.

basic verb		derived verb	
wxti	be big	กร-wxti	consider to be big consider to be long
zri	be long	กร-zri	
chi	be sweet	nxx-chi	consider to be sweet
maʁ	not be	nxy-maʁ	consider to not to be right
mbat	be easy	nxy-mbat	finish easily

Table 2. Examples of the nx- tropative prefix in Japhug

The examples show that the morphophonology and semantic derivation of this prefix is not entirely straightforward.

Aside from the regular $n\gamma$ - allomorph, one also finds $an\gamma\gamma$ - $/n\gamma x$ - allomorph on a few verbs. A similar allomorphy is found with the causative prefix (which has suvy- and cuvy- allomorphs alongside suv-) and the applicative nuv- (which has the allomorph nuvy- in a few examples). The suv- vs. suvy- allomorphy is still productive: the latter allomorph is found when the original verb is intransitive, without an initial consonant cluster and without initial velar or uvular. It is possible that a similar distribution used to exist at a former stage for the $n\gamma$ - $n\gamma y$ - allomorphs, but the data at hand do not permit a firm conclusion.

Moreover, the semantics of the derived verb is not always simply 'to consider to be X'. In the case of stative verbs whose meaning is neutral (not explicitly positive like 'beautiful'), the tropative often has the additional meaning 'to consider to be too X'. Second, in the case of *nvy-maw* 'consider to not to be right', it seems that of the original meanings of *maw* was 'not to be right', as the nominalized form *kuu-maw* can mean 'something which is not right'. Here the tropative preserved the original meaning of the verb, and the base verb underwent an independent semantic change.

Like some of the applicative verbs, tropative verbs can be used with non-periphrastic past imperfective, as in example (24).

(24) w-tw-tçur my-tçhom tçe 3SG-NMLZ:DEGREE-sour NEG-N.PST:be.exceedingly LNK

púi-wy-ny-mum cti
PST.IPFV-INV-TROPATIVE-be.tasty N.PST:be.AFFIRM

It is not too sour and we used to find it tasty (N.Cojom 5

It is not too sour, and we used to find it tasty.(NGojom, 52)

The use of the evidential or the perfective with tropative verbs indicates a change of state. For instance, $to-n\gamma$ -mum (EVD-TROP-be.tasty) means '(he used not to find it tasty, but now) he finds it tasty'.

3.1. The tropative and other derivations

The tropative is compatible with other derivation prefixes, in particular the deexperiencer $s_{\mathcal{Y}}$ - (see Jacques 2012a). For instance, we observe the derivation chain:

(25) scit 'happy' (of a person)

Deexperiencer: *sy-scit* 'funny, nice'. The literal meaning of this stative verb is in fact 'to be such that people are happy'.

Tropative: ny-sy-scit 'to consider to be nice'

The doubly derived verb can be illustrated by the following example:

(26) nui-sy-rma pjui-yyrcok pjy-ra ma 3PL.POSS-NMLZ:OBLIQUE-live IPFV-be.muddy EVD.IPFV-have.to because

ku-zbak nu múj-ny-sy-scit-nu

NMLZ:S/A-dry TOP NEG:CONST-TROPATIVE-DEEXPERIENCER-happy-PL

nw-ŋgrɤl

IPF-be.usually.the.case

(The frogs) had to live in a muddy place, because they did not like dry (places). (Aesop adaptation, the frogs)

It is however impossible to combine the tropative with the reflexive zyy. To express the meaning 'to consider oneself X', one uses a different construction, with the complex prefix zny- and reduplication of the verb stem:

- (27) $\varphi qras$ 'be intelligent' $\rightarrow znr \varphi qras \varphi qras$ 'consider oneself intelligent'.
- (28) tui-znyeqrakeqrak nuye, kumtehu ra 2-N.PST:TROPATIVE:REFL:intelligent isn't.it toy PL

múj-ra γe NEG:CONST-have.to isn't.it

You think you are so smart, you don't need toys, don't you? (Conversation 2003, 68)

3.2. Other tropative constructions

Apart from the tropative prefix n_{Y} -, we find two other constructions which can express a tropative meaning in Japhug.

First, the causative prefix *sui-/suiy-/z-* appears to have a tropative semantics in two verbs: *znyja* 'consider to be a shame' and *znykyro* 'consider to be acceptable'.

The intransitive verb nyja means 'to be a shame, to be a pity'.

(29) içqha laxtçha pjy-Ngrui, pui-nyja the.aforementioned thing EVD-ACAUS:break PFV-be.a.shame The thing broke, what a shame! (elicited, Chenzhen)

The transitive *z-nyja*, rather than meaning 'to cause to be a shame' as expected regularly, rather means 'to regret, be reluctant', in other words 'to consider something to be a pity':

(30) wuma zo pui-znxja-t-a really EMPH PFV-regret-PST-1SG I regretted it very much (about a lost cellphone cover, Dpalcan, conversation, 2010) The other way to express the same meaning is to use the estimative verb *supa* 'to consider, to regard as' and combine it with a nominalized stative verb.

(31) tykhe-pyytçu nu uzo pyytçu nu ku-khe stupid-bird top he bird top nmlz:S/A-stupid

tu-swpa-nw

IPFV-consider-PL

The *tykhe-pyrtçui* is considered to be a stupid bird (The buzzard, 13)

This construction with *supa* expresses the same meaning as the tropative derivation but with a complement clause, in particular in the case of verbs for which this derivation is not appropriate.

4. CONCLUSION

This paper has shown that apart from the causative prefixes, Japhug has two other valency-increasing derivations, the applicative and the tropative. While superficially similar, these two derivations are morphosyntactically and semantically quite distinct. Their similar shape (nuv-for the applicative and nv-for the tropative) suggests a common origin. It is possible that they derive historically from the transitive denominal derivations of action nouns (see Jacques to appear).

Rgyalrong languages appear to be the only languages in the Sino-Tibetan family to present these prefixes, and it could be one of the many defining common innovations of the Rgyalrong branch. In the closely related Wobzi Lavrung language, Lai (2013: 165) proposed to interpret the *n*-prefix in the verb *n-lolòm* 'to smell' as a potential trace of the tropative derivation in this language, but more research is needed to confirm this idea.

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