

# TONE CORRESPONDENCES AND TONOGENESIS IN VIETIC

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This paper<sup>1</sup> aims to examine tone correspondences in Vietic languages, namely Vietnamese, Ruc and Arem, and attest Haudricourt's tonogenesis hypothesis.

## 1. Haudricourt's Tonogenesis hypothesis of Vietnamese

Haudricourt's Tonogenesis hypothesis of Vietnamese (1954) is well known. It not only put an end to the controversy on what language family Vietnamese belongs to, but also proposed a model of the development of tones in a language. Even after fifty years since its publication, it is generally accepted and still very influential among linguists. The hypothesis is usually explained as follows.

- (1)
- |    |   |    |   |    |   |    |            |   |    |   |    |   |    |            |   |    |   |    |   |    |
|----|---|----|---|----|---|----|------------|---|----|---|----|---|----|------------|---|----|---|----|---|----|
| 1  | 2 | 3  | 4 | 1  | 2 | 3  | 4          | 1 | 2  | 3 | 4  |   |    |            |   |    |   |    |   |    |
| pa | = | pa | = | pa | = | ba | <b>paX</b> | > | pá | = | pá | > | bá | <b>pah</b> | > | pà | > | pǎ | > | bǎ |
| ba | = | ba | > | pà | > | bà | <b>baX</b> | > | bá | > | pà | > | bà | <b>bah</b> | > | bà | > | pã | > | bã |

In stage 1, Vietnamese started as a toneless language. In stage 2, three pitch contours were formed depending on the coda types: level pitch from null coda, rising pitch from stop coda including glottal stop, and falling pitch from voiceless fricative coda. In stage 3, they split into higher and lower series according to [+/- voice] feature of onsets, and the number of tones doubled causing onsets of lower series devoiced. In stage 4, voiceless onsets were voiced again, though this stage is not relevant to the number of tones. This model may be called a **consonantly-based model** of tonogenesis.

However, simple questions arise. Tone is a phonological category, and it is realized and perceived by phonetic pitch. This pitch is encoded acoustically by fundamental frequency (F0), and this F0 cannot exist without voicing. If I accept the consonantly-based model, such syllables as [ai] or [au] without consonants cannot have tones. And specifically, how can a change from [bá] to [pǎ] between stage 2 and 3 explained? This change from voiced high rising pitch to voiceless low pitch does not seem to be plausible.

My question leads to a certain confidence that it is not consonants themselves, but interactions between various aspects of phonation that determines the pitch. This kind of idea is proposed by Thurgood (2001) in the name **laryngeally-based model** of tonogenesis.

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<sup>1</sup> This paper is the main result from my Master of Linguistics sub-thesis at the ANU (2004). I'm indebted to my supervisor Dr. Paul Sidwell for his enormous efforts, and lots of comments on it by Dr. Mark Alves and Dr. Phil Rose. I'm also indebted to Dr. Graham Thurgood for a new concept specifically applied in this paper.

## 2. Ruc and Arem – Vietic languages

I'm going to focus on the languages of Arem, Ruc and Vietnamese. The term “Vietic” is used to be known as “Viet-Muong”. Some scholars such as Ferlus prefer to use the latter name.

Population of Ruc and Arem is extremely small. According to the census in 1985, it is *Arem* 76, *Ruc* 125, *Maliêng* 715, *Mày* 715, *Sách* 625 (Phong et al. 1988). Another source shows *Chứt* 2400 (VNA 1996). The name *Chứt* is used by the government to represent these extremely minor ethnic people settled in the North-Central area of Vietnam.

The survey of Ruc language started in 1986 by Russian and Vietnamese linguists (Loi 1993). However, the report is not available at hand. Available materials at hand are the following three.

- (2) - **Nguyễn Phú Phong, Trần Trí Dõi and M.Ferlus (1988) on Ruc**  
 - **Nguyễn Văn Lợi (1993) on Ruc**  
 - **Michel Ferlus (1997, original version 1991) on Arem and Ruc**

My study is based on these sources and not based on the field work of my own.

In the SEALS Conference, Mark Alves and Michel Ferlus have contributed papers on Ruc language in 1997, 1999 and 2001. These papers are also cited.

## 3. Lexicostatistic Data

The following lexicostatistic data show lexical distance between the languages of Vietic and Katuic.

- (3) Compared Vietic and Katuic lexica (Samarina, I.V. 1989, cited in Loi 1993)

							Bru
						Taoih	63.0
					Pakoh	71.0	72.0
				Arem	40.0	38.0	41.0
			Ruc	66.5	37.5	33.0	37.5
		Poong	53.0	51.5	35.5	33.0	37.5
	Muong	50.5	50.0	56.5	27.0	26.5	31.5
Viet	74.0	51.5	53.0	45.0	26.0	24.0	29.5
← Vietic					Katuic →		

As is shown, Vietnamese shares nearly 50% common lexica with Ruc and Arem, while the rates are less than 30% with Katuic languages.

## 4. Tone Description

Tone description is crucially important but is a bit complicated because they are not shown by pitch alone but voice quality differences are involved in Vietic languages.

## *Tone correspondences and tonogenesis in Vietic*

### *4-1. Standard Northern Vietnamese: 6 tones*

Standard Northern Vietnamese is well known to have six tones, in Vietnamese name *ngang, sắc, hỏi, huyền, nặng, ngã*.

(4)	(plain)	(abrupt)	(contour)
	1. <i>ngang</i> (1A)	2. <i>sắc</i> (1B)	3. <i>hỏi</i> (1C)
	4. <i>huyền</i> (2A)	5. <i>nặng</i> (2B)	6. <i>ngã</i> (2C)

They are grouped into historical higher and lower series, and each two tones make three pairs: plain tones, abrupt tones and contour tones. Besides differences in pitch, *nặng* tone is accompanied by glottal stop, and *ngã* tone has creaky voice. Tone names in brackets, which I call **comparative tone numbers**, will be used to show tone correspondences more explicitly; the numbers (1 and 2) indicate higher and lower series, and the alphabets (A, B and C) indicate three contour types.

### *4.2 Ruc: 4 tones*

Ruc is reported to have four tones.

- (5)
1. High level pitch
  2. Low level pitch (with breathy voice) or falling pitch (with breathy voice)
  3. Rising pitch (with optional glottal stop)
  4. Concave pitch or falling pitch (with optional glottal stop)

According to Loi (1993), tone 1 has high level pitch, and tone 2 has two variations in free variation, accompanied by breathy phonation. Tone 3, rising pitch can accompany glottal stop optionally. Tone 4 is reported to have two free variations, concave pitch and low falling pitch, and the falling pitch is accompanied by glottal stop optionally. Phong et al. (1988) describes six tones for Ruc, which counts tones 3 and 4 with and without glottal stop as an individual one.

### *4.3 Arem: Toneless*

Arem is reported to be toneless, but to have breathy phonation, and post-vocalic laryngeal constriction (Ferlus 1997, 2001).

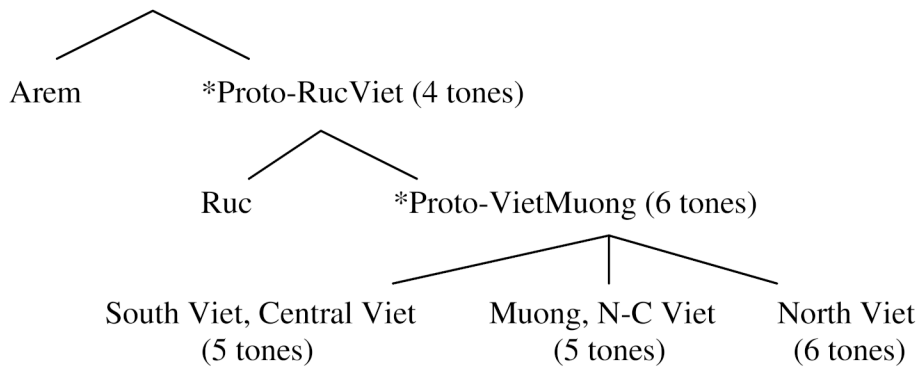
### *4.4 Research Questions*

Here are some questions. According to Haudricourt, the tones developed from  $0 > 3 > 6$ . How are they different from Ruc's 4-tone system? What are the tone correspondences among Arem, Ruc and Viet?

## **5. Tone Developments – A tentative tree diagram**

I propose a tentative tree diagram of Vietic from a viewpoint of the development of tones.

## (6) \*Proto-Vietic (toneless, contrastive phonation types)



I assume the tones developed from 0 > 4 > 6, in the same way as Arem, Ruc and Viet. 5-tone systems of Southern, Central, North-Central varieties of Vietnamese as well as Muong are assumed to be the result of merger of two tones of the Proto-VietMuong, though categorisation of the two tones are not the same.

## 6. Word list

Word list is attached as an Appendix to this paper. I have found 109 cognate sets from the three materials and made them in a comparative list. On top from the left, after numbers and English glossary, there are transcriptions of Arem in Ferlus (1997), Ruc in Ferlus (1997), Ruc in Loi (1993) and Ruc in Phong et al. (1988) as in the original source. Next three columns are Vietnamese orthography and comparative tone numbers. In the last column, Ferlus' 1997 reconstruction is shown as a reference. On left from the top, the 109 lexica are categorised into five groups by their coda types: (1) null coda, (2) fricative coda, (3) liquid coda, (4) stop codas, and (5) nasal codas. The colour further categorises the lexica within the coda types: coloured cells show basic regular correspondences, and colourless cells show irregular correspondences. From next section, I will demonstrate several typical examples of regular and irregular correspondences.

## 7. Regular Tone Correspondences (79/109 cases)

I will explain the regular tone correspondences according to the six tones of Standard Northern Vietnamese. This regular correspondence applies to 79 cases out of 109 lexica. The number after Ruc and Viet IPA transcription refers to its tone.

### 7.1 Ngang tone (1A)

(7)	No.	Gloss.	Arem	Ruc	Viet	*P-V(Ferlus)
	1	'three'	pæ:	pa: 1	ba: 1A	pa:
	47	'fly (verb)'	pal	pəl 1	bǎj 1A	pər
	78	'cooked rice'	kə:m	kə:m 1	kə:m 1A	kə:m

Vietnamese tone 1A corresponds to Ruc tone 1 in null coda, liquid coda and nasal coda syllables. Liquid coda in Arem and Ruc corresponds to the glide coda [j] in Vietnamese.

7.2 *Sắc tone (1B) with null coda and sonorant codas*

(8)	No.	Gloss.	Arem	Ruc	Viet	*P-V(Ferlus)
	20	‘fish’	akæ:ʔ	aka: 3	ka: 1B	ʔa-ka:ʔ
	55	‘roof’	(n.a.)	ba:l 3	măj 1B	ʔa:lʔ
	92	‘four’	puənʔ	po:n 3	bon 1B	po:nʔ

Vietnamese tone 1B corresponds to Ruc tone 3 in the above mentioned three coda types, and final *glottal stop* in Arem. Ferlus (2001) indicates that this syllable-end *glottal stop* of Arem is used to represent “the constricted rimes in voiced ending”.

7.3 *Huyền tone (2A)*

(9)	No.	Gloss.	Arem	Ruc	Viet	*P-V(Ferlus)
	10	‘fly (insect)’	uruəj	məɾəj 2	ruəj 2A	m-rəj
	53	‘long’	(n.a.)	jəal 2	za:j 2A	ja:r

Vietnamese tone 2A corresponds to Ruc tone 2, and Arem transcription *grave accent* above vowels in most cases. According to Ferlus 2001, this diacritic indicates “breathy voice”.

7.4 *Nặng tone (2B) with null coda and sonorant codas*

(10)	No.	Gloss.	Arem	Ruc	Viet	*P-V(Ferlus)
	57	‘wake up’	jɪlʔ	jɪl 4	zəj 2B	jɪrʔ
	108	‘heavy’	naŋʔ (?)	naŋ 4	năŋ 2B	naŋʔ

Vietnamese tone 2B corresponds to Ruc tone 4, which corresponds to Arem combination of ‘breathy voice’ and ‘the constricted rimes in voiced ending’ in most cases.

7.5 *Hỏi tone (1C) and ngã tone (2C)*

(11)	No.	Gloss.	Arem	Ruc	Viet	*P-V(Ferlus)
	38	‘grass’	(n.a.)	kəh 1	kə: 1C	kəh
	44	‘nose’	muh	mu:r <sup>h</sup> 2	mu:j 2C	mu:s

Next sets show Vietnamese tones 1C and 2C, which correspond to Ruc tones 1 and 2 respectively whose rhymes have voiceless fricative [h], and which correspond to Arem voiceless fricative coda, too. In Arem and Ruc, final –h is still segmental and not tonal yet. In this point, the evidence is different from Haudricourt’s hypothesis.

## 7.6 Sắc tone (1B) and nặng tone (2B) with stop codas

(12)	No.	Gloss.	Arem	Ruc	Viet	*P-V
						(Ferlus)
	63	‘sing’	ahæ:t	ha:t 1 or 3	ha:t 1B	ha:t
	71	‘wear’	mèk	măak 2 or 4	măk 2B	mak

Next sets show Vietnamese tones 1B and 2B with stop codas, which correspond to Ruc tone 1 and 2, or 3 and 4 respectively. What is interesting is the difference between Ferlus and Loi. While Ferlus describes 1 and 2, high level and low level, Loi describes 3 and 4, high rising and low falling. Since transcriptions of the two authors are coherent, I understand it is due to the perception difference.

## 7.7 Proposed Tonogenesis model

To summarise the above regular tone correspondences, proposed Tonogenesis model is shown as below.

(13)	<u>*Proto-Vietic</u>		<u>Arem</u>	<u>Ruc</u>	<u>Viet</u>	
	[modal]	a [-constricted]	a	a 1A	a 1A	<i>ngang</i>
			al	al 1A	aj 1A	
			an	an 1A	an 1A	
		a' [+constricted]	a'	a 1B	a 1B	<i>sắc 1</i>
			al'	al 1B	aj 1B	
			an'	an 1B	an 1B	
			at	at 1A (or 1B)	at 1B	<i>sắc 2</i>
			ah	ah 1A	a 1C	<i>hỏi</i>
	[breathy]	ḃ [-constricted]	ḃ	a 2A	a 2A	<i>huyền</i>
			ḃl	al 2A	aj 2A	
			ḃn	an 2A	an 2A	
		ḃ' [+constricted]	ḃ'	a 2B	a 2B	<i>nặng 1</i>
			ḃl'	al 2B	aj 2B	
			ḃn'	an 2B	an 2B	
			ḃt	at 2A (or 2B)	at 2B	<i>nặng 2</i>
			ḃh	ah 2A	a 2C	<i>ngã</i>

Several remarks should be noted here. Comparative tone numbers are applied to Ruc, where Ruc tones 1, 2, 3 and 4 are shown as 1A, 2A, 1B and 2B respectively. The letter “a” represents all the vowels, the letter “n” represents nasal codas in /m, n, ŋ/, the letter “t” represents stop codas /p, t, k/. The *apostrophe* shows post-vocalic laryngeal constriction.

As we see above, Proto-Vietic is assumed to have contrasts in voice quality; **modal vs. breathy**, as Arem. Another laryngeal feature is the post-vocalic laryngeal constriction. This glottal constriction is what Diffloth (1989) called ‘creaky voice’. These two laryngeal configurations seem to be closely related to the origin of tones.

To express in binary features, breathiness and laryngeal constriction may be **marked features**. Following figures may be an evidence for this.

(14)	1A ( <i>ngang</i> ) 1136	1B ( <i>sắc 1</i> ) 891
	2A ( <i>huyền</i> ) 951	2B ( <i>nặng 1</i> ) 636

These figures show number of syllables by tones, which I counted from a modern Vietnamese-Chinese dictionary (Honda 2004), which lists 5795 syllables as a whole. According to this, 1B and 2B are less than 1A and 2A respectively due to the marked laryngeal constriction, and 2A and 2B are less than 1A and 1B respectively due to the marked breathiness.

The second important point is the presence of fricative coda /-h/ in Arem and Ruc, which is assigned to tone 1A in Ruc. I see chronological order of the emergence of tone 1C was much later than 1B.

The reason for my assumption is not only due to the presence of /-h/ in Ruc. In modern Vietnamese, we can easily find two more syllable types that are not shown in (13); that is nasal coda with tones 1C and 2C. There is no clarification why there are no Mon-Khmer cognates in this category (Gage 1985). They are assumed to be added by the influence of another language. In other words, emergence of contour tones might be triggered by the language contact, and that time the coda /-h/ worked as medium to give slots to contour tones. Arem and Ruc were exempted from this phonological change.

## 8. Irregular Tone Correspondences (31/109 cases)

Next, I will explain irregular correspondences. I posit three factors for the irregular correspondences, by which 17 cases out of 31 can be solved. The reasons for the rest 14 cases are unknown at present.

### 8.1 Spreading of voicing from minor-syllable to main-syllable (7 cases)

The first factor is spreading of voicing from minor-syllable to main-syllable. Before showing the examples, we should bear in mind the word structure of Arem and Ruc.

(15)	Arem	CV+CCVC (?)	sesqui-syllable
	Ruc	CV+CCVC / T	sesqui-syllable
	Middle Vietnamese (17C)	CCVC / T	mono-syllable
	Modern Vietnamese	CVC / T	mono-syllable

Word structure of Arem and Ruc are sesqui-syllable, which consists of minor-syllable and main-syllable. Examples of up-shifting and down-shifting are shown as below.

## (16) Up-shift (#5, 6, 11, 104)

<u>No.</u>	<u>Gloss.</u>	<u>Arem</u>	<u>Ruc</u>	<u>Viet</u>
5	‘deep’	cirù:	t̪u: 2A	sǎw 1A
104	‘thunder’	kər̩m?	kʰr̩m 2B	sǎm 1B

Above examples show tones are up-shifted due to the [-voice] feature of the minor-syllables. Although lower tones (2A, 2B) are expected, they are higher tones (1A, 1B) in Viet.

## (17) Down-shift (#7, 36, 109)

<u>No.</u>	<u>Gloss.</u>	<u>Arem</u>	<u>Ruc</u>	<u>Viet</u>
7	‘chicken’	lakæ:	rəka:ʔtəka: 1A	ɣa: 2A
36	‘husked rice’	ŋkə:ʔ	rəkə:ʔtəkə: 1B	ɣa:w 2B

Above examples show tones are down-shifted due to the [+voice] feature of the minor-syllables. Although higher tones (1A, 1B) are expected, they are lower tones (2A, 2B) in Viet.

## 8.2 Misperception of phonation type difference with voicing of onsets (4 cases)

The second factor is misperception of phonation type difference with voicing of onsets, which affected tones.

## (18) Up-shift (#12, 13, 87, 88)

<u>No.</u>	<u>Gloss.</u>	<u>Arem</u>	<u>Ruc</u>	<u>Viet</u>	<u>*P-V (Ferlus)</u>
12	‘go’	t̪i:	ti: 2A	di: 1A	di: ʔ ti:
87	‘bone’	s̪iŋ	sa:ŋ 2A	suəŋ 1A	dʒa:ŋ ʔ tʃa:ŋ

In both cases, although lower tone (2A) is expected, they are higher tone (1A). Ferlus’ reconstruction also suggests two options: voiced and voiceless onsets. I posit **breathy voice** because I have a similar experience in transcribing East Javanese. Examples below show sub-minimal pair.

## (19) East Javanese

<u>Gloss.</u>	<u>*Mistranscription</u>	<u>Corrected transcription</u>
‘four’	*paˈpat̚ ʈʈ	paːpat̚ ʈʈ
‘father’	*pʰaˈpaʔ ʈʈ	paːpaʔ ʈʈ

At first, I transcribed the first syllables as contrastive [-aspiration] and [+aspiration] on the initial consonant. The informant insisted they are ‘voiceless’ and ‘voiced’. However, both initial consonants are apparently voiceless. After repetitions of reproduction, I found the difference is rather in phonation type on the following vowels: the contrast between [modal voice] and [breathy voice], or *modal voice* and *slack voice* (Ladefoged and Maddieson 1996: 63). The latter is also accompanied by a slightly low pitch. I suppose similar sounds existed and similar misperception took place in the history of Vietnamese.



### 8.3 Semantic change and innovations (6 cases)

The third factor is semantic change and innovations. They are shown in pairs. One example is as below.

(20) Semantic change and innovations (#73/74, 99/100, 105/106)

No.	Gloss.	Arem	Ruc	Viet	*P-V (Ferlus)
73	‘eye’	mět	măat 1B/2B	măt 1B	mat
74	‘face’	(n.a.)	măat 1B/2B	măt 2B	mat

Although Vietnamese ‘eye’ and ‘face’ is phonologically different, it seems they have been derived from one etymon.

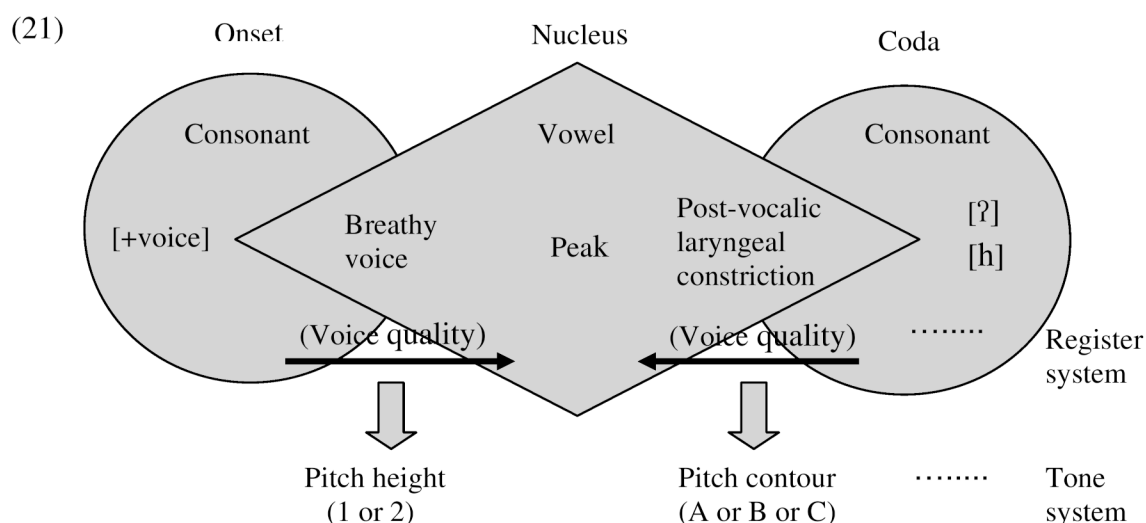
## 9. Summary of comparison – Haudricourt’s model vs. Vietic evidence

Above analysis is summarised as follows:

- Phonation type difference (modal vs. breathy) is consistently reflected within Vietic.
- Chronological order of 2-way tone split by initial consonants to higher and lower series is not placed in the third stage of the development of tones, but the distinction between modal vs. breathy voice and the accompanying pitch distinction, had supposedly existed since the early stage of the proto language.
- Compared glottal stop [-ʔ] with fricative [-h] in syllable-end position, glottal stop was incorporated to tone system much earlier, while glottal fricative was incorporated to tone system later, as Arem and Ruc evidence shows.
- Therefore, the tone development was not  $0 > 3 > 6$  as Haudricourt, but  $0 > 4 > 6$ , or even  $0 > 2 > 4 > 6$  may be possible, though evidence of 2-tone system is not found yet.
- Three main factors for irregular correspondences are posited: (1) spreading of voicing from minor-syllable to main-syllable, (2) misperception of phonation type difference with voicing of onsets and tones, and (3) semantic change and innovations.

## 10. Toward a laryngeally-based model of Tonogenesis

Lastly, I present a chart of the laryngeally-based model of tonogenesis below.



As it shows, the acoustic energy to create pitch difference was not directly supplied from consonants. It is the phonation, or voice quality, originally derived from initial and final consonants, which is the overlapping portion between consonants and vowel, that worked as medium or reservoir of acoustic energy. In the case of Vietic, this voice quality is both breathy voice and post-vocalic laryngeal constriction. Each of them becomes responsible for pitch height and pitch contour respectively, when the pitch element becomes more salient than voice quality, that is when the system changes from register system to tone system.

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Item	Arem Ferlus 1997	Ruc Ferlus 1997	Ruc Lợi 1993	Ruc Phong et al. 1988	Mod VN <i>orthography</i>	Tone	Exp. Tone	*P-VN Ferlus 1997
[a] -Ø, -w, -j, -Ø?, -w?, -j?								
<i>three</i>	pæ:	pa: <sup>1</sup>	pa <sup>1</sup>	pa	<i>ba</i>	1A	1A	pa:
<i>drunk</i>	pəri:	p <sup>h</sup> ri: <sup>1</sup>	pri <sup>1</sup>	pri pǝdo	<i>say</i>	1A	1A	pri: > p <sup>h</sup> ri: / k
<i>arm, hand</i>	t <sup>h</sup> i:	si: <sup>1</sup>	si <sup>1</sup>	si	<i>tay</i>	1A	1A	si:
<i>ear</i>	t <sup>h</sup> a:j	sa:j <sup>1</sup>	saj <sup>1</sup>	saj	<i>tai</i>	1A	1A	sa:j
<i>deep</i>	cirũ:	tu: <sup>2</sup>	choru <sup>1</sup> , djoru <sup>1</sup> , tru <sup>1</sup> , tu <sup>1</sup>	rpĩ / zũpĩ kàsǎŋ	<i>sâu</i>	1A	2A	cru: > k <sup>h</sup> ru:
<i>buffalo, carabao</i>	(n.a.)	cəlu: <sup>2</sup>	tlu <sup>1</sup> , klu <sup>1</sup>	klu	<i>trâu</i>	1A	2A	clu: > klu: / tl
<i>chicken (gen.)</i>	lakæ:	təka: <sup>1</sup>	rəka <sup>1</sup> , təkā <sup>1</sup>	rǝka	<i>gà</i>	2A	1A	rka:
<i>betel</i>	uləw	(plu: <sup>2</sup> ) S	plu <sup>2</sup>	plũ	<i>trầu, giầu</i>	2A	2A	blu: > blu: / tl
<i>sky, heaven, God</i>	tləj: <sup>1</sup> (?)	pləj <sup>2</sup>	pləj <sup>2</sup>	pləj	<i>trời, giời</i>	2A	2A	b-ləj > bləj /
<i>fly (insect)</i>	urũəj	pərcəj <sup>1</sup>	mərôoj <sup>2</sup> , pərôoj <sup>2</sup>	mũrcəj	<i>ruổi</i>	2A	2A	m-rəj
<i>rain</i>	m̩a	kuməa <sup>2</sup>	kuməa <sup>2</sup>	kũm̩a	<i>mưa</i>	1A	2A	k-ma: > k <sup>h</sup> ma:
<i>go</i>	ti:	ti: <sup>2</sup>	ti <sup>2</sup>	ti	<i>đi</i>	1A	2A	di: / ti:
<i>tail</i>	tũəj	(tuej <sup>2</sup> )	tuəj <sup>2</sup>	tuəj	<i>đuôi</i>	1A	2A	dəj / təj
<i>lip</i>	(n.a.)	cəboj <sup>3</sup>	churbôj <sup>3</sup>	cũboj <sup>3</sup>	<i>môi</i>	1A	1B	c-bu:j? / c-bu:
<i>bundle</i>	pə:?	pə: <sup>3</sup>	(n.a.)	(n.a.)	<i>bó</i>	1B	1B	pə:?
<i>blood</i>	maw?	təmu: <sup>3</sup>	[asam <sup>3</sup> ]	[ǎsa?m]	<i>máu</i>	1B	1B	t-mu: > t <sup>h</sup> mu:
<i>clothes</i>	?ə:w?	?a:w <sup>3</sup>	?aw <sup>3</sup>	?aw	<i>áo</i>	1B	1B	?a:w?
<i>dog</i>	acə:?	acə: <sup>3</sup>	acho <sup>3</sup>	acó?	<i>chó</i>	1B	1B	?a-cə:?
<i>female</i>	ke:?	pəki: <sup>3</sup>	puki <sup>3</sup>	pǝkí?	<i>gái</i>	1B	1B	ke:?
<i>fish</i>	akæ:?	aka: <sup>3</sup>	aka <sup>3</sup>	ǎká?	<i>cá</i>	1B	1B	?a-ka:?
<i>have</i>	kə?	kə: <sup>3</sup>	ko <sup>3</sup>	kó	<i>có</i>	1B	1B	kə:?
<i>leaf</i>	ulæ:?	ula: <sup>3</sup>	ula <sup>3</sup> , hla <sup>3</sup>	ũlá?	<i>lá</i>	1B	1B	s-la:?
<i>louse, head</i>	ci:?	ci: <sup>3</sup>	chi <sup>3</sup>	cí	<i>chấy, chí</i>	1B	1B	ci:?
<i>paper</i>	kacaj?	kəcəj <sup>3</sup>	kuchəj <sup>3</sup>	kǎcǎj	<i>giấy</i>	1B	1B	k-caj?
<i>remember, think about</i>	ɲa:?	kəɲə: <sup>3</sup>	kunhō <sup>3</sup>	kǎɲǎ	<i>nhớ</i>	1B	1B	k-ɲə: > k <sup>h</sup> ɲə:
<i>salt</i>	bəj?	bəj <sup>3</sup>	boj <sup>3</sup>	bój	<i>muối</i>	1B	1B	bəj?
<i>six</i>	pəraw?	ɟaw <sup>3</sup>	prəw <sup>3</sup> , psaw <sup>3</sup> , phrəw <sup>3</sup> , saw <sup>3</sup>	ɟráw	<i>sáu</i>	1B	1B	p-ru: > p <sup>h</sup> ru:

*Koichi Honda*

Item	Arem Ferlus 1997	Ruc Ferlus 1997	Ruc Lợi 1993	Ruc Phong et al. 1988	Mod VN <i>orthography</i>	Tone	Exp. Tone	*P-VM Ferlus 1997
<i>smoke</i>	ahɑjʔ	kəhɔj <sup>3</sup>	khahɔj <sup>3</sup>	kăhɔj	<i>khói</i>	1B	1B	k-hɔjʔ > klɔjʔ / tlɔjʔ
<i>wind (blowing)</i>	kajaʔ	kəjɔ: <sup>3</sup>	kajɔ <sup>3</sup>	kăjɔʔ	<i>gió</i>	1B	1B	k-jɔʔ
<i>bee, wild honeybee</i>	kwi:	kwi: <sup>3</sup>	kwi <sup>2</sup>	(n.a.)	<i>khoái</i>	1B	1B	kwe:ʔ
<i>rice (plant and grain)</i>	alaʔ	alɔ: <sup>3</sup>	alo <sup>3</sup>	ălɔ́	<i>lúa</i>	1B	1B	?a-lɔʔ
<i>fruit</i>	ule:ʔ	pəli: <sup>3</sup>	puli <sup>3</sup>	pǎlí	<i>trái</i>	1B	1B	p-leʔ > ple:ʔ / tle:ʔ
<i>stone</i>	atæ:ʔ	lata: <sup>3</sup>	lata <sup>3</sup> , ta <sup>3</sup>	táʔ	<i>đá</i>	1B	1B	l-taʔ
<i>forest</i>	brawʔ	bru: <sup>3</sup>	bru <sup>3</sup>	brú	<i>[rùng] rú</i>	1B	1B	m-ru:ʔ
<i>mother</i>	(n.a.)	miɛ <sup>4</sup>	mêe <sup>4</sup>	mee.	<i>mẹ</i>	2B	2B	me:ʔ
<i>rice (husked)</i>	ηkɔʔ	təko: <sup>3</sup>	rɔkɔ <sup>3</sup>	răkó	<i>gạo</i>	2B	1B	r-koʔ
<b>nal -h, -s]</b>								
<i>break</i>	pəh	peh <sup>1</sup>	(n.a.)	pəh	<i>bẻ, bẻ́</i>	1C	1C	pəh, pəh
<i>grass</i>	(n.a.)	kɔh <sup>1</sup>	kɔh <sup>1</sup>	kɔh	<i>cỏ</i>	1C	1C	kɔh
<i>red</i>	(n.a.)	(tɔ: <sup>6</sup> )N, tɔh <sup>1</sup>	turɔ <sup>3</sup>	tɔʔ	<i>đỏ</i>	1C	1C	tɔh
<i>cloth</i>	kupɛ:lʔ (?)	(kupa:l <sup>6</sup> ) N	kupal <sup>3</sup>	kupaʔl	<i>vải</i>	1C	1C	kpa:s
<i>vomit</i>	abah	bah <sup>1</sup>	bah <sup>1</sup>	(n.a.)	<i>mửa</i>	1C	1C	ɓah
<i>breathe</i>	aŋòh	təŋəh <sup>1</sup>	tangəh <sup>1</sup>	tăŋəh	<i>thở, ngửi</i>	1C	1C	tŋəs > t <sup>h</sup> ŋəw
<i>nest</i>	nɔh	suh <sup>1</sup>	?ɔ <sup>4</sup>	?o [to]	<i>ổ, tổ</i>	1C	1C	suh / ?uh
<i>seven</i>	pɔh	(pa:j <sup>6</sup> ) N	paj <sup>4</sup>	păjʔ	<i>bảy, bảý</i>	1C	1C	pəs
<i>nose</i>	mùh	mu:r <sup>h1</sup>	mujh <sup>2</sup> , murh <sup>2</sup>	muɔh, mulʃ	<i>mũi</i>	2C	2C	mu:s
<i>tongue</i>	lìəh	lɔar <sup>h1</sup>	lɔarh <sup>2</sup> , lɔajh <sup>2</sup>	lɔarh, lɔarl	<i>lưỡi</i>	2C	2C	la:s
<b>nal -l, -r]</b>								
<i>fly (verb)</i>	pal	pəl <sup>1</sup>	por <sup>1</sup>	pəl	<i>bay</i>	1A	1A	pər
<i>lime (substance)</i>	apɔ:l	kəpu:l <sup>1</sup>	kapur <sup>1</sup> , kapul <sup>1</sup>	kǎpul	<i>vôi</i>	1A	1A	kpur
<i>two</i>	hæ:l	ha:l <sup>1</sup>	hal <sup>1</sup>	hal	<i>hai</i>	1A	1A	har
<i>cloud</i>	(n.a.)	məl <sup>1</sup>	mɔl <sup>1</sup>	mǎl	<i>mây</i>	1A	1A	-məl
<i>tree</i>	(n.a.)	(kəj <sup>1</sup> )	koâj <sup>2</sup>	kəɔj	<i>cây</i>	1A	1A	kəl
<i>return</i>	(n.a.)	(vi: <sup>2</sup> )	[Bi <sup>2</sup> ]	(n.a.)	<i>về</i>	2A	2A	ve:l
<i>long</i>	(n.a.)	jəal <sup>2</sup>	jar <sup>1</sup> , jal <sup>1</sup>	?jal	<i>dài</i>	2A	2A	jar

*Tone correspondences and tonogenesis in Vietic*

Item	Arem Ferlus 1997	Ruc Ferlus 1997	Ruc Lợi 1993	Ruc Phong et al. 1988	Mod VN <i>orthography</i>	Tone	Exp. Tone	*P-VM Ferlus 1997
<i>plow, plough</i>	(n.a.)	kǎal <sup>2</sup>	koâl <sup>2</sup>	kəl	<i>cày</i>	2A	2A	gal
<i>roof</i>	(n.a.)	ba:l <sup>3</sup>	bal <sup>3</sup>	bál	<i>mái</i>	1B	1B	ba:lʔ
<i>egg</i>	[ulɔ:lʔ]	[tulul <sup>3</sup> ]	[talur <sup>3</sup> , tulul <sup>3</sup> ]	təlúl	<i>trúng</i>	1B	1B	tlurʔ
<i>wake, get up, rise</i>	ǰilʔ	ǰil <sup>4</sup>	(n.a.)	ɲǎp ʒiri	<i>dậy</i>	2B	2B	ǰirʔ
<i>rise, raise</i>	ajalʔ	ajəl <sup>3</sup>	(n.a.)	(n.a.)	<i>dậy</i>	1B	1B	ʔa-jərʔ
<i>run</i>	(n.a.)	(n.a.)	tangaj <sup>3</sup>	tǎŋǎj	<i>chạy</i>	2B	1B	jalʔ
<i>light (weight)</i>	(n.a.)	ɲe:l <sup>3</sup>	nhel <sup>3</sup>	ǎɲe:l	<i>nhẹ</i>	2B	1B	ɲe:lʔ
<i>dust</i>	(n.a.)	(n.a.)	[puj <sup>4</sup> ]	[kǎʔjǎh, kǎjih]	<i>bụi</i>	2B	2B	bu:lʔ
<b>nal -p, -t, -c, -k]</b>								
<i>lightning</i>	cɛ:p	cə:p <sup>1</sup>	[alar <sup>1</sup> ]	cáp	<i>chóp</i>	1B	1B	cə:p
<i>sing</i>	ahæ:t	ha:t <sup>1</sup>	hat <sup>3</sup>	hát	<i>hát</i>	1B	1B	ha:t
<i>iron</i>	(n.a.)	k <sup>h</sup> lat <sup>1</sup>	khlat <sup>3</sup>	klát	<i>sắt</i>	1B	1B	krac > k <sup>h</sup> rac
<i>cool</i>	(n.a.)	rəma:c <sup>1</sup>	(n.a.)	mát	<i>mát</i>	1B	1B	tma:c
<i>cut</i>	kac (?)	kac <sup>1</sup>	[pɔām <sup>2</sup> ]	kác	<i>cắt, chặt</i>	1B	1B	kac
<i>sand</i>	təka:c	təka:c <sup>1</sup>	tưkach <sup>3</sup>	tǎkác	<i>cát</i>	1B	1B	tka:c
<i>hair (head)</i>	ut <sup>h</sup> uk	usuk <sup>1</sup>	usuk <sup>3</sup>	usúk	<i>tóc</i>	1B	1B	suk
<i>stump, base of tree</i>	təko:k	təko:k <sup>1</sup>	[kurl kɔāj]	tǎkòk kəɲj	<i>gốc</i>	1B	1B	tko:k
<i>water</i>	dæ:k	da:k <sup>1</sup>	dak <sup>3</sup>	dák	<i>nước</i>	1B	1B	da:k
<i>one</i>	mù:c	mɔ:c <sup>2</sup>	môc <sup>4</sup>	moiʔc	<i>một</i>	2B	2B	mɔ:c
<i>wear</i>	(mèk)	mǎak <sup>2</sup>	mɔāk <sup>4</sup>	mǎǎk ʔáw	<i>mặc</i>	2B	2B	mak
<i>eye</i>	mèt	mǎat <sup>2</sup>	mɔât <sup>4</sup>	(n.a.)	<i>mắt</i>	1B	2B	mat
<i>face</i>	(n.a.)	(n.a.)	mɔât <sup>4</sup>	mǎǎt	<i>mặt</i>	2B	2B	mat
<b>nal -m, -n, -ɲ, -mʔ, -nʔ, -ɲʔ, -ŋʔ]</b>								
<i>bird</i>	ici:m	ci:m <sup>1</sup>	ichim <sup>1</sup>	ĩcim	<i>chim</i>	1A	1A	ci:m
<i>five</i>	dam	dam <sup>1</sup>	dām <sup>1</sup> , ʔdām <sup>1</sup>	dǎm	<i>năm</i>	1A	1A	dam
<i>hundred</i>	tlam	klam <sup>1</sup>	klām <sup>1</sup>	klǎm	<i>trăm</i>	1A	1A	klam > klam / tlam
<i>rice (cooked)</i>	kə:m	kə:m <sup>1</sup>	kəm <sup>1</sup> , (chaw <sup>3</sup> )	kəm	<i>cơm</i>	1A	1A	kə:m
<i>year</i>	(n.a.)	nam <sup>1</sup>	nam <sup>1</sup>	nǎm	<i>năm</i>	1A	1A	cɲəm
<i>child</i>	kə:n	kɔ:n <sup>1</sup>	kon <sup>1</sup> , kon <sup>4</sup>	kɔn	<i>con</i>	1A	1A	kɔ:n

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Item	Arem Ferlus 1997	Ruc Ferlus 1997	Ruc Lợi 1993	Ruc Phong et al. 1988	Mod VN <i>orthography</i>	Tone	Exp. Tone	*P-VM Ferlus 1997
<i>eat</i>	ʔan	ʔan <sup>1</sup>	ʔan	ʔăn	ăn	1A	1A	ʔan
<i>flower</i>	(n.a.)	po:ŋ <sup>1</sup>	pông <sup>1</sup> poar <sup>1</sup>	piarl, pial	bông	1A	1A	po:ŋ
<i>in</i>	tlɑ:ŋ	klɔ:ŋ <sup>1</sup>	klɔŋ <sup>1</sup>	klɔŋ	trong	1A	1A	klɔ:ŋ > klɔ:ŋ / tlɔ:ŋ
<i>on, on toop</i>	lɔ:ŋ	li:ŋ <sup>1</sup>	aling <sup>1</sup> , ling <sup>1</sup>	ăliŋ, lɛŋ	trên, lên	1A	1A	kle:ŋ > kle:ŋ / tle:ŋ
<i>tooth</i>	at <sup>h</sup> aŋ	kəsəŋ <sup>1</sup>	kasəŋ <sup>1</sup>	kàsǎŋ	răng	1A	1A	ksəŋ
<i>ask, question</i>	(n.a.)	ha:ŋ <sup>1</sup>	hanh <sup>1</sup>	(n.a.)	[hỏi] han	1A	1A	ha:ŋ
<i>bone</i>	sìəŋ	sa:ŋ <sup>2</sup>	sang <sup>1</sup>	sàŋ	xương	1A	2A	dʒa:ŋ / tʃiəŋ
<i>foot, leg</i>	cìŋ	ci:ŋ <sup>2</sup>	ching <sup>2</sup>	ciŋ	chân	1A	2A	ji:ŋ / ciŋ
<i>bed</i>	(n.a.)	kəci:ŋ <sup>2</sup>	kuchong <sup>1</sup>	cìəŋ	giường	2A	2A	kjə:ŋ
<i>near</i>	(n.a.)	təkɪŋ <sup>1</sup>	churkinh <sup>2</sup>	ckìŋ, ckìŋ	gần	2A	2A	tkìŋ
<i>eight</i>	t <sup>h</sup> æ:mʔ	(t <sup>h</sup> a:m <sup>3</sup> ) N	tham <sup>3</sup> , toham <sup>3</sup>	thám	tám	1B	1B	sa:mʔ
<i>four</i>	puənʔ	po:n <sup>3</sup>	pôn <sup>3</sup>	pón	bốn	1B	1B	po:nʔ
<i>nine</i>	ci:nʔ	ci:n <sup>3</sup>	chin <sup>3</sup>	cín	chín	1B	1B	ci:nʔ
<i>ripe, cooked</i>	ci:nʔ	ci:n <sup>3</sup>	chin <sup>3</sup>	cín	chín	1B	1B	ci:nʔ
<i>shoot</i>	pɪŋʔ	pɪŋ <sup>3</sup>	pɪnh <sup>3</sup>	píŋ	bắn	1B	1B	pəŋʔ
<i>snake</i>	ut <sup>h</sup> ɪŋʔ	pəsɪŋ <sup>3</sup>	pusɪnh <sup>3</sup>	pǎsɪŋ	rắn	1B	1B	psəŋʔ
<i>palm (of hand)</i>	adæ:ŋʔ	kəda:ŋ <sup>3</sup>	(n.a.)	(n.a.)	náng	1B	1B	kɖa:ŋʔ
<i>month</i>	t <sup>h</sup> æ:ŋʔ	t <sup>h</sup> a:ŋ <sup>3</sup>	thang <sup>3</sup>	thánŋ	tháng	1B	1B	kra:ŋʔ
<i>wing</i>	(n.a.)	ke:ŋ <sup>3</sup>	kêng <sup>3</sup>	kêŋ	cánh	1B	1B	ke:ŋʔ
<i>branch</i>	kɑ:ŋʔ (V!)	təkɛ:ŋ <sup>3</sup>	takeng <sup>3</sup>	tăkɛŋ	cành	2A	1B	tke:ŋʔ / ge:ŋ
<i>bitter</i>	(n.a.)	taŋ <sup>3</sup>	təŋ <sup>3</sup>	əǎŋ	đắng	1B	1B	taŋʔ
<i>live, be alive</i>	tlɔŋʔ	klɔ:ŋ <sup>3</sup>	tlung <sup>4</sup> , klung <sup>4</sup>	klúnŋ, kluónŋ	sống	1B	1B	k-lɔ:ŋʔ / kro:ŋʔ
<i>salty</i>	mènʔ	màn <sup>4</sup>	mạn <sup>4</sup>	mặŋ	mặn	2B	2B	
<i>thunder</i>	kərimʔ	k <sup>h</sup> rim <sup>4</sup>	krum <sup>4</sup>	kriʔm	sấm	1B	2B	krimʔ > k <sup>h</sup> rimʔ
<i>stand</i>	tiŋʔ (?)	tiŋ <sup>6</sup> (?)	tựŋ <sup>4</sup>	tíʔŋ	đứng	1B	2B	tiŋʔ
<i>build</i>	pətɪŋʔ	pətɪŋ <sup>6</sup>	(n.a.)	pǎtɪŋ	dựng	2B	2B	p-tiŋʔ
<i>borrow</i>	ma:ŋʔ (?)	məaŋ <sup>4</sup>	mơaŋ <sup>4</sup>	miəʔn	mượn	2B	2B	ma:ŋʔ
<i>heavy</i>	naŋʔ (?)	naŋ <sup>6</sup> (?)	nặng <sup>4</sup> , ɣnặng <sup>4</sup>	nǎŋ	nặng	2B	2B	naŋʔ
<i>louse (body)</i>	<sup>n</sup> riŋʔ, <sup>n</sup> tʃiŋʔ	brɪŋ <sup>3</sup>	brɔnh <sup>3</sup>	brɪŋ	rận	2B	1B	mrɪŋʔ > p <sup>h</sup> riŋʔ

*Tone correspondences and tonogenesis in Vietic*

marks: ( ? ) : borrowing, noted by Ferlus [ ] : suspicious cognates, noted by Honda  
( ) S : Sach (alternative), noted by Ferlus ( ) N : Nguồn (alternative), noted by Ferlus

*for Appendix:*

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# PROTO-KATUIC PHONOLOGY AND THE SUB-GROUPING OF MON-KHMER LANGUAGES<sup>1</sup>

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## Summary

The Katuic languages are a branch of the Mon-Khmer (MK) family spoken by more than one million people living in Thailand, Cambodia, Laos and Vietnam. While the majority of Katuic speakers live in eastern Thailand and Cambodia, the greatest diversity of Katuic languages lies in the Salavan and Sekong provinces of Laos and adjacent border areas of Vietnam, part of a complex patchwork of small ethnic communities. From a comparative-historical point of view Katuic has particular importance, as between them the languages appear to have conserved some very ancient phonological and lexical features. At the same time some Katuic languages have been remarkably innovative and developed some of the richest vowel systems in the world. The recent advances in the reconstruction of Proto Katuic (Sidwell 2005) potentially allow us to investigate the sub-grouping of Katuic within Mon-Khmer on the basis of comparative phonology. However, the results are somewhat ambiguous, and do not support any special sub-grouping of Katuic within Mon-Khmer.

## Classification of Katuic with the Mon-Khmer family

During the first major phase of comparative-historical work on the MK languages, which lasted into the 1960s (effectively beginning with the work of Schmidt (1901, 1904, 1905 etc.) until Pinnow (1959) and Shafer (1952, 1965)), there was no coherent account of the real extent and internal structure of the Mon-Khmer family.

Thomas and Headley (1970) established a new paradigm when they successfully applied lexicostatistics to the emerging body of new field data, distinguishing nine branches: *Pearic*, *Khmer*, *Bahnaric*, *Katuic*, *Khmuic*, *Monic*, *Palaungic*, *Khasi* and *Viet-Muong*. Adding *Aslian* and *Nicobarese* (not examined by Thomas and Headley although already long recognised as MK), Diffloth's (1974) expanded listing became the received classification<sup>2</sup>. The Munda languages of India are also generally recognised as related to MK, although opinion is divided over how close that relationship is. All together they are recognised as forming the Austroasiatic phylum, but in this paper I am only concerned with analysis up to the MK level.

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<sup>2</sup> Since the 1980s some minor languages of China have come to light that may or may not constitute a new branch.

